



K17U 2251

Reg. No. : .....

Name : .....

V Semester B.B.A. Degree (CCSS-Supple./Imp.)  
Examination, November 2017  
(2012 and 2013 Admissions)  
Core Course

5B13 BBA : CAPITAL MARKET AND INVESTMENT MANAGEMENT

Time : 3 Hours

Max. Weightage : 30

PART – A

This part consist of **two** bunches of question carrying **equal** weightage of **one**.  
**Each** bunch consist of **four** objective type questions. Answer **all** questions.

I. Fill in the blanks.

- 1) Debt instruments which have a maturity of less than one year are called \_\_\_\_\_
- 2) At present, India follows \_\_\_\_\_ type of rolling settlement.
- 3) Rate at which RBI lends to bank is \_\_\_\_\_
- 4) \_\_\_\_\_ is the process of admitting securities for trading on recognised stock exchange. (W=1)

II. Choose the **correct** answer :

- 5) Boom period means
  - a) High demand for products and services.
  - b) Demand for products steady
  - c) Demand for services steady
  - d) None of these
- 6) The NSE-Nifty's base period is
  - a) 1992
  - b) 1995
  - c) 1993
  - d) 1994

P.T.O.



7. Stock exchange is a
- |                   |                     |
|-------------------|---------------------|
| a) Primary Market | b) Secondary Market |
| c) Money Market   | d) None of these    |
8. Name the institution which introduced mutual funds in India.
- |        |        |
|--------|--------|
| a) LIC | b) RBI |
| c) UTI | d) SBI |

(W=1)

## PART – B

Answer **any eight** questions in **one** or **two** sentences **each**. Each question carries a weightage of **one**.

9. Give the meaning of fixed deposit.
10. Explain rematerialisation.
11. What is private placement ?
12. What is gambling ?
13. Distinguish between bull market and bear market.
14. What is a derivative ?
15. What is a commercial paper ?
16. What is meant by technical analysis ?
17. What is a bond fund ?
18. Give the meaning of price band.

(W : 8×1=8)

## PART – C

Answer **any six** questions. Answer **not** to exceed **one** page. **Each** carries a weightage of **two**.

19. What are the differences between a money market and a capital market ?
20. Explain the objectives of money market.



21. What is merchant banking ? What are usual functions of a merchant banker ?
22. Explain the benefits and disadvantages of listing a share.
23. Explain the portfolio management process
24. What is OTCEI ? List the features.
25. Explain the types of risk.
26. State the differences between bank a/c and demat a/c. (W = 6×2=12)

PART – D

Answer **any two**. **Each** question carries a weightage of **four**.

27. What are the main non-marketable financial assets ?
  28. Explain the main classification of capital market.
  29. What is a stock exchange ? Explain its functions. (W = 2×4=8)
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