



K17U 0406

Reg. No. :

Name :

VI Semester B.B.A. Degree (CBCSS – Regular) Examination, May 2017
(2014 Admn.)

CORE COURSE

6B17BBA : Capital Market and Investment Management

Time : 3 Hours

Max. Marks : 40

SECTION – A

Answer the 4 questions. **Each** question carries ½ mark.

1. _____ is the process of converting securities held in physical form into electronic form.
2. Gilt edged securities are also known as _____
3. _____ is the market where the existing securities of companies are traded.
4. _____ is the oldest stock exchange in Asia. (½×4=2)

SECTION – B

Answer **any 4** questions :

5. What is FPO ?
6. Define Mutual Funds.
7. What is Rights Issue ?
8. Explain SEBI.
9. Explain Company Analysis.
10. Explain Moving Averages. (1×4=4)

P.T.O.



SECTION – C

Answer **any 6** questions. **Each** question carries **3** marks.

11. What is stock exchange ? Explain functions of stock exchange.
12. Who is Depositories ? Explain the function of depository.
13. Explain NSDL.
14. Explain the Index Prices in India.
15. Explain the Elliot Wave Theory.
16. What are the functions of New Issue Market ?
17. What do you mean by book building ? Explain the process of price discovery through book building.
18. Why investments are important ? **(3×6=18)**

SECTION – D

Answer **any two** questions. **Each** question carries **8** marks.

19. What are the different methods of raising capital in a primary market ?
 20. What are stock exchanges ? Explain the different stock exchanges in India.
 21. What is economic forecasting ? Explain the important forecasting methods. **(8×2=16)**
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