



K19U 0178

Reg. No. : .....

Name : .....

VI Semester B.Com. Degree (CBCSS – Reg./Supple./Improv.)  
Examination, April 2019  
(2014 Admission Onwards)  
Core Course  
6B18COM : FINANCIAL MARKETS AND SERVICES

Time : 3 Hours

Max. Marks : 40

PART – A

I. Answer **all** questions. **Each** carries  $\frac{1}{2}$  mark.

- 1) IPO stands for \_\_\_\_\_
- 2) The Sensex consists of \_\_\_\_\_ stocks.
- 3) The equity shares issued by a company to its employees or directors is called \_\_\_\_\_
- 4) Depositories Act is enacted in the year \_\_\_\_\_ (4× $\frac{1}{2}$  =2)

PART – B

II. Answer **any four** questions. **Each** carries **one** mark.

- 5) What do you mean by commercial papers ?
- 6) What do you mean by underwriting ?
- 7) What do you mean by venture capital ?
- 8) What is a derivative instrument ?
- 9) What is meant by stock broking ?
- 10) Who is a factor ? (4×1=4)

P.T.O.



## PART – C

III. Answer **any six** questions (**not** exceeding **one** page). **Each** carries **three** marks.

- 11) Discuss the steps in book building process.
- 12) What do you mean by merchant banking ?
- 13) Explain the importance of mutual funds.
- 14) State the objectives of financial services.
- 15) Write differences between capital market and money market.
- 16) Explain long term financial instruments.
- 17) What are the defects of Indian money market ?
- 18) Explain the meaning of depository services. (6×3=18)

## PART – D

IV. Answer **any two** questions. **Each** carries **eight** marks.

- 19) Explain the components of Indian financial system.
  - 20) Explain the process of credit rating in India.
  - 21) What is a stock exchange ? Explain the functions of a stock exchange. (2×8=16)
-